



National Practitioner Data Bank-Healthcare Integrity and Protection Data Bank (NPDB-HIPDB)

Integrated Querying and Reporting Service (IQRS) User Review Panel (URP)

Larry McMillion

April 21, 2004









Spring 2004 IQRS URP

NPDB-HIPDB Progress System Improvements

(Larry McMillion)









June 2003

- Added ability to save credit card information for IQRS queries.
 - Entities can save multiple credit card numbers and assign credit card numbers to user IDs.
- Added ability to save subject information to the subject database when submitting a query or report.

July 2003

 NPDB and HIPDB query fees reduced from \$5.00 per name to \$4.25 per name per Data Bank.

September 2003

- Data Banks moved to 4th generation hardware platform (SUN architecture).
- Average query response time decreases to less than two hours for 99% of submitted queries.

November 2003

- Credit card interface between the Data Banks and Mellon Bank was upgraded.
- Allows for better credit card reconciliation:
 - Upgraded interface allowing the Data Banks to submit the NPDB or HIPDB query reference number to end-user credit card companies. Participating end-user credit card companies may display the Data Bank query reference number on credit card statements.







February 2004

- Medical Malpractice Payment Report (MMPR) enhancements based on Center for Health Policy Studies (CHPS) recommendations.
- ITP file format enhancements.

April 2004

- Pre-Populate Certification information for IQRS transactions (non-administrators).
 - IQRS ADMINISTRATORS will not be able to take advantage of this new functionality – administrator accounts are frequently used by multiple users within a single entity. Because the Data Banks require accurate submitter information, certification information for administrator accounts will not be pre-populated.
- Subject Database Duplicates.
 - When importing subjects or adding subjects to a subject database, the Data Banks will inform the submitter of potential duplicate subjects.









Options - Microsoft Internet Explorer		_
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		_
OPTIONS	National Practitioner Data Bank Healthcare Integrity and Protection Data Bank	
Query Options	View Billing History	
Report Options	Report View Report Output	
Maintenance Options	Maintain Subject Database Update User Account	
	End Session & Return to Login	
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FredDoors





User ID:

Name:

Title:

Telephone:

E-mail Address:

Old Password:

New Password:

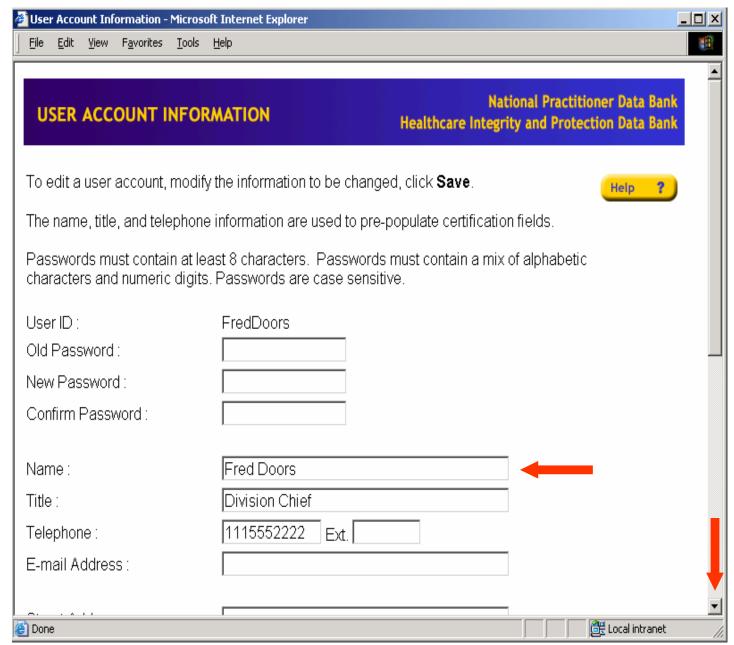
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User Account Information - Micro	soft Internet Explorer	>
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Street Address:		
Address Line 2:		
City:		
State:	CHOOSE ONE FROM LIST ▼	
Zip Code :		
Country:		
Assigned Credit Card:	None	
☐ The Data Bank Newslett Data Bank Newsletter, and v	ter is sent to your entity's certifying official. If you do not currently receive the would like to, check this box.	
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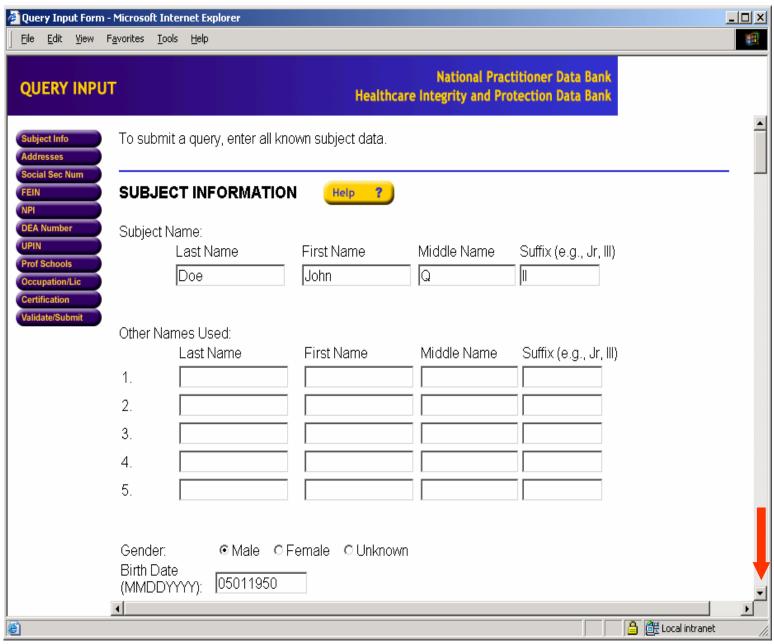


Certification Information Will Pre-populate for Queries and Reports





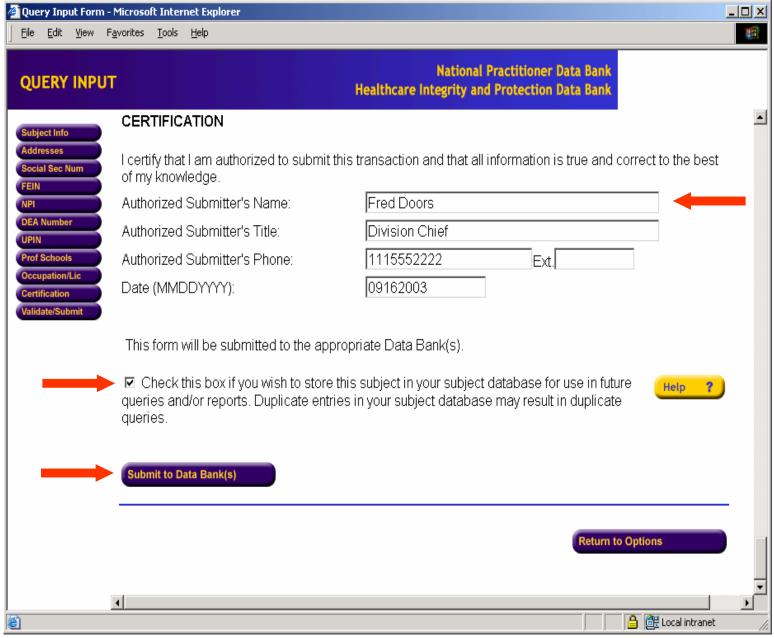










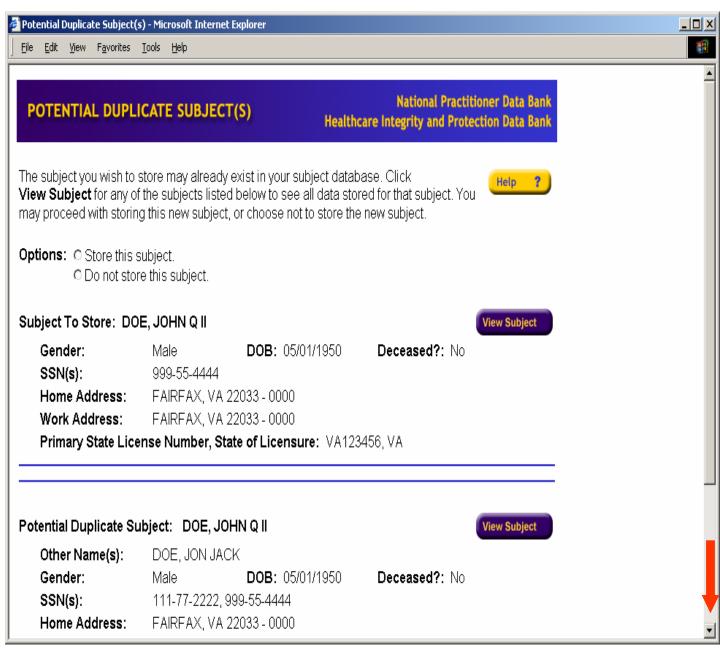










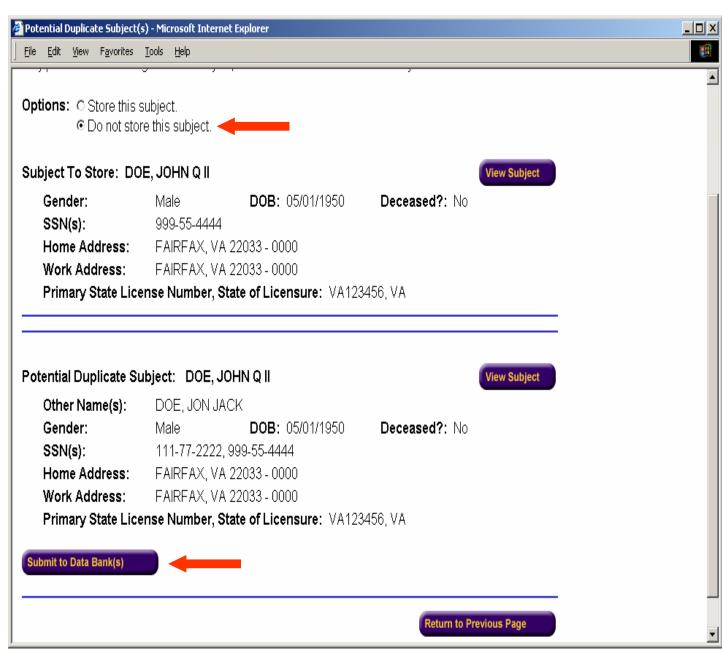








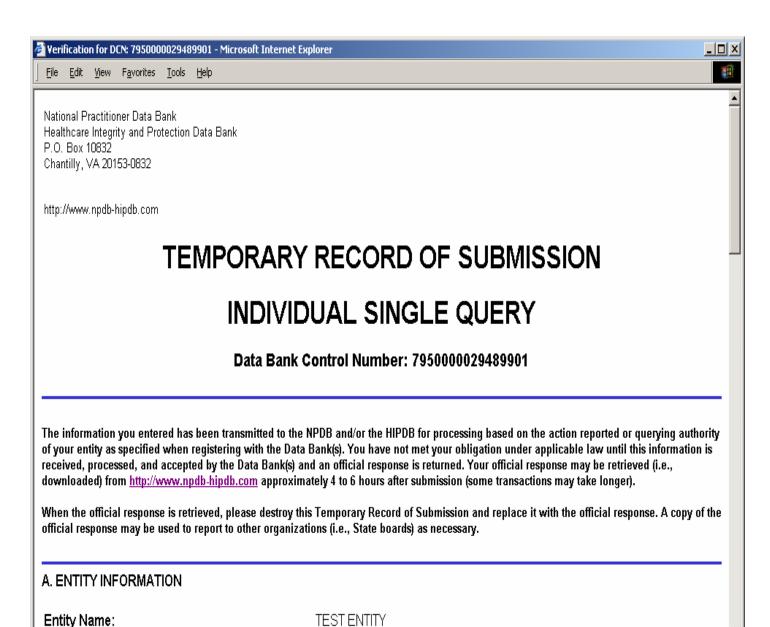














Address:



4350 FAIR LAKES COURT

CUITE 400





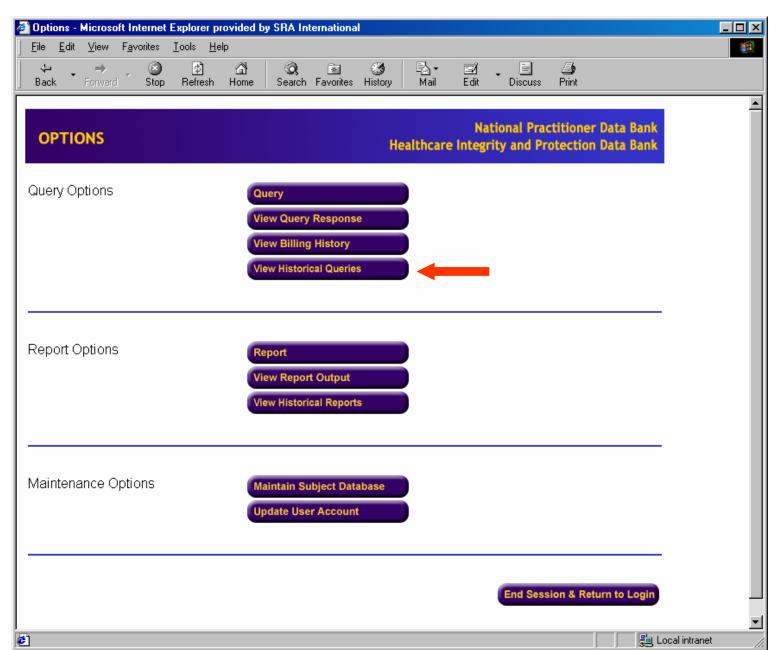
June 2004

- Historical User Summary Report.
 - Data Bank users frequently request reports detailing previously submitted querying and reporting transactions.
- Bundled Multi-Name Query Responses.
 - Allow Data Bank users to specify when or when not to bundle multi-name query responses into combined files.





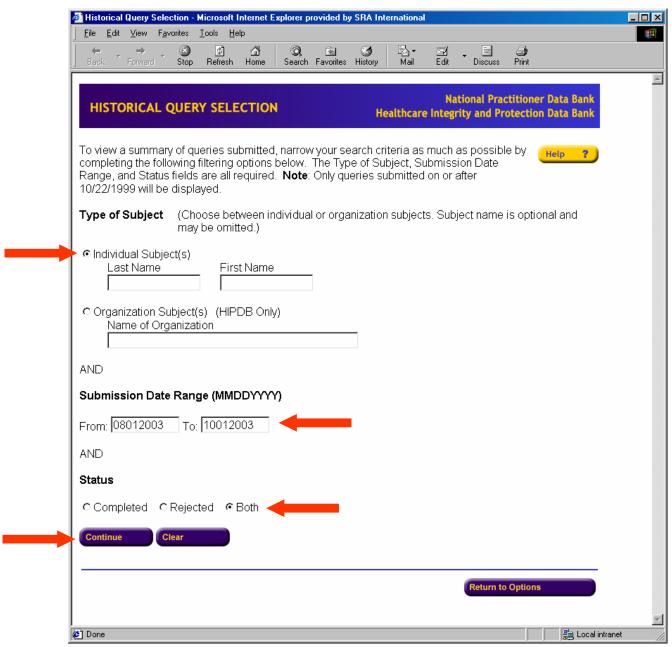












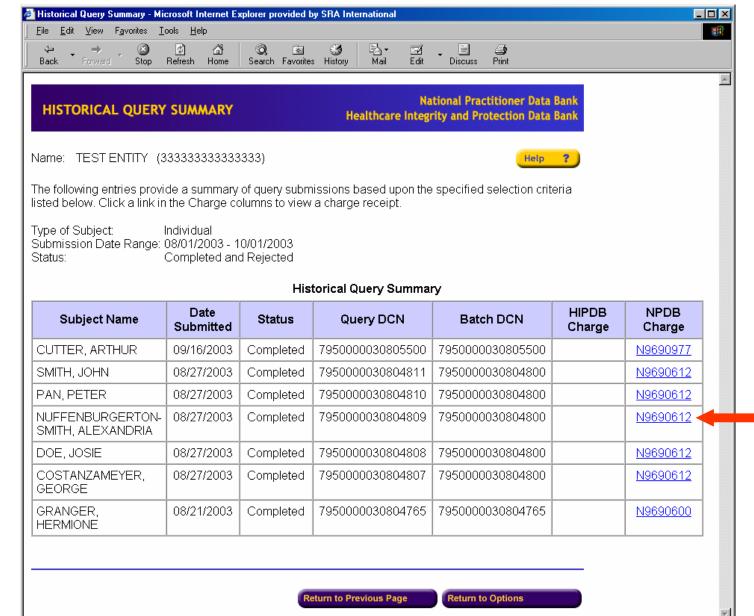












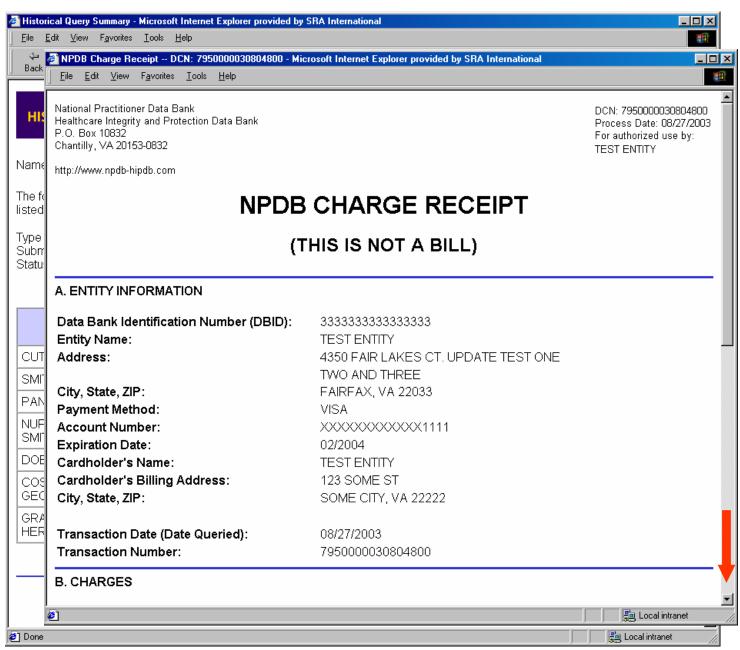


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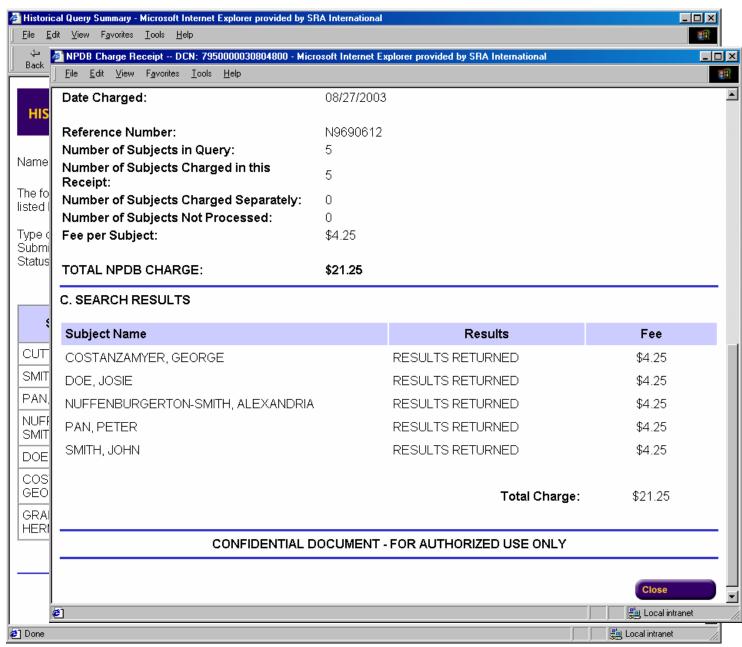


















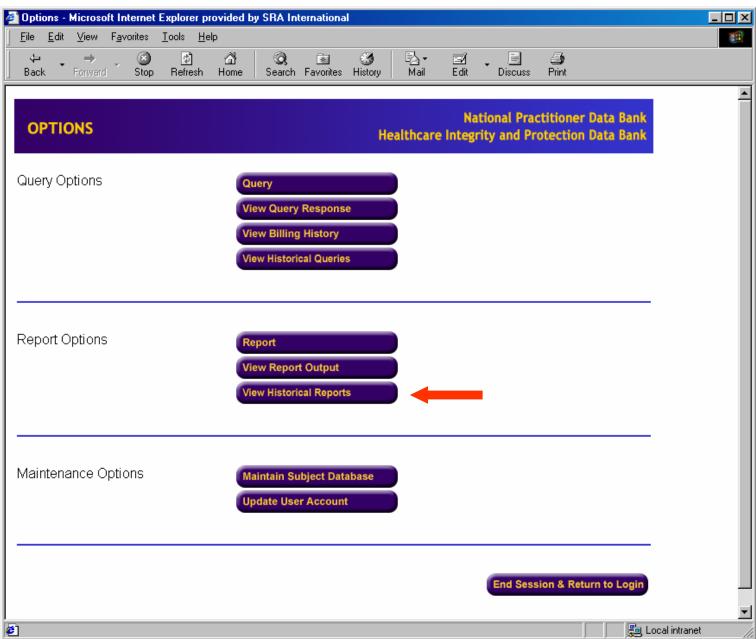


Users May Search for Previously Submitted Reports





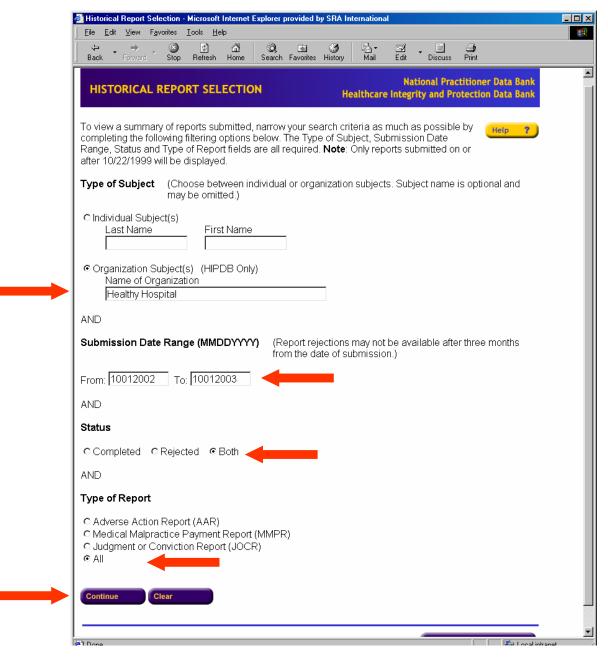










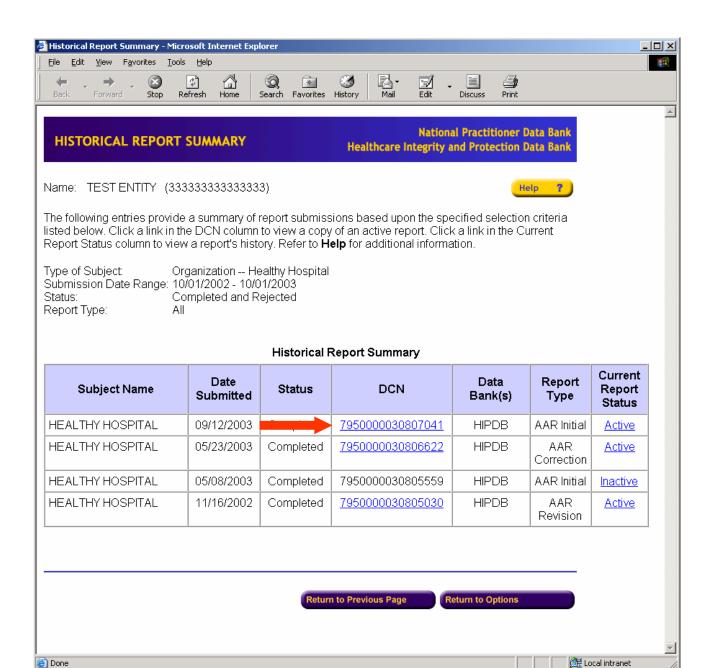








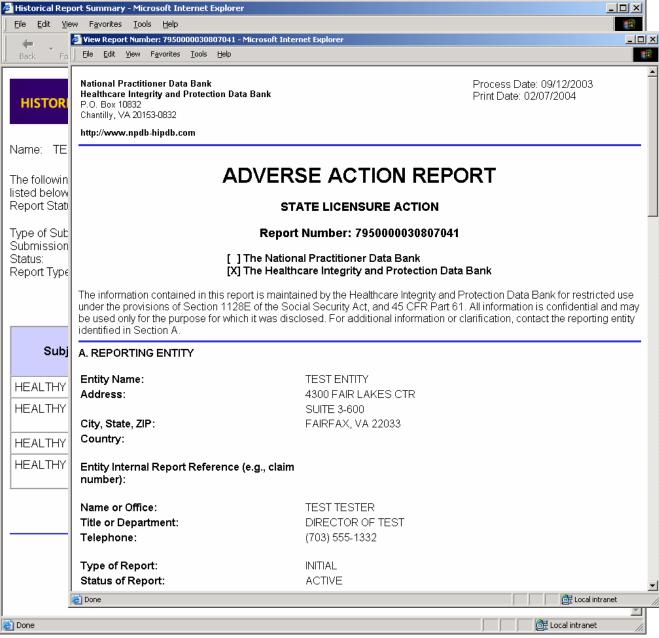
























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HISTORICAL REPORT SUMMARY National Practitioner Data Bank Healthcare Integrity and Protection Data Bank

Name: TEST ENTITY (333333333333333)

The following entries provide a summary of report submissions based upon the specified selection criteria listed below. Click a link in the DCN column to view a copy of an active report. Click a link in the Current

Report Status column to view a report's history. Refer to **Help** for additional information.

Type of Subject: Organization -- Healthy Hospital Submission Date Range: 10/01/2002 - 10/01/2003 Status: Completed and Rejected

Report Type: All

Historical Report Summary

Subject Name	Date Submitted	Status	DCN	Data Bank(s)	Report Type	Current Report Status
HEALTHY HOSPITAL	09/12/2003	Completed	<u>7950000030807041</u>	HIPDB	AAR Initial	<u>Active</u>
HEALTHY HOSPITAL	05/23/2003	Completed	7950000030806622	HIPDB	AAR Correction	<u>Active</u>
HEALTHY HOSPITAL	05/08/2003	Completed	7950000030805559	HIPDB	AAR Initial	<u>Inactive</u>
HEALTHY HOSPITAL	11/16/2002	Completed	7950000030805030	HIPDB	AAR Revision	<u>Active</u>

Return to Previous Page

Return to Options

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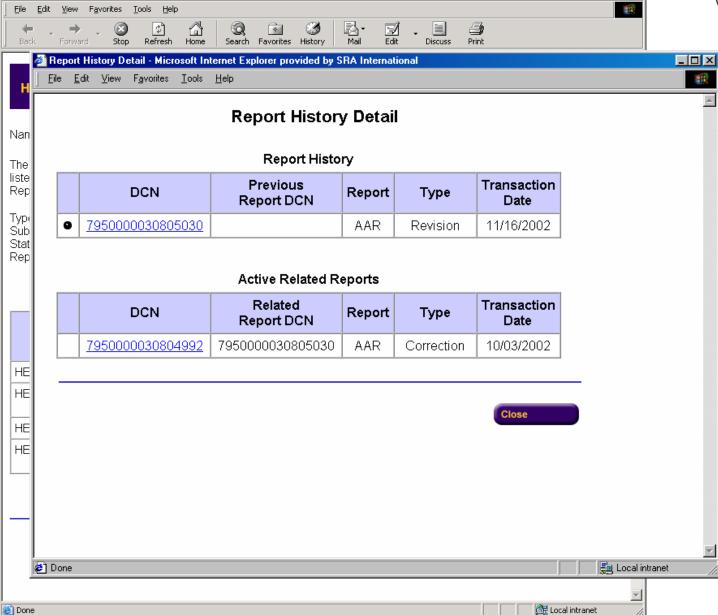
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Historical Report Summary - Microsoft Internet Explorer











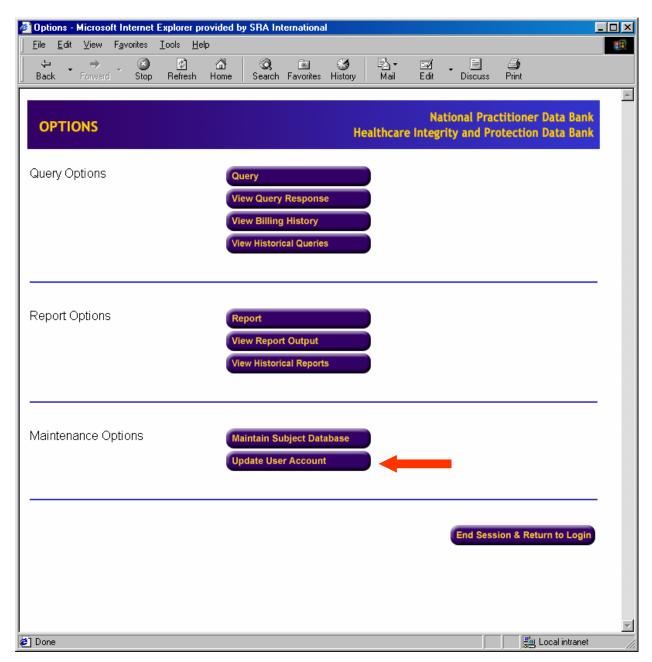


Bundled Multi-Name Query Responses







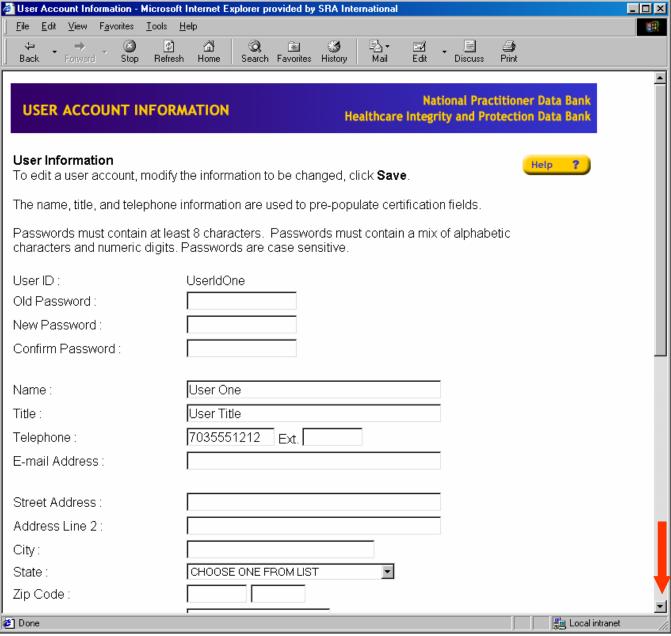










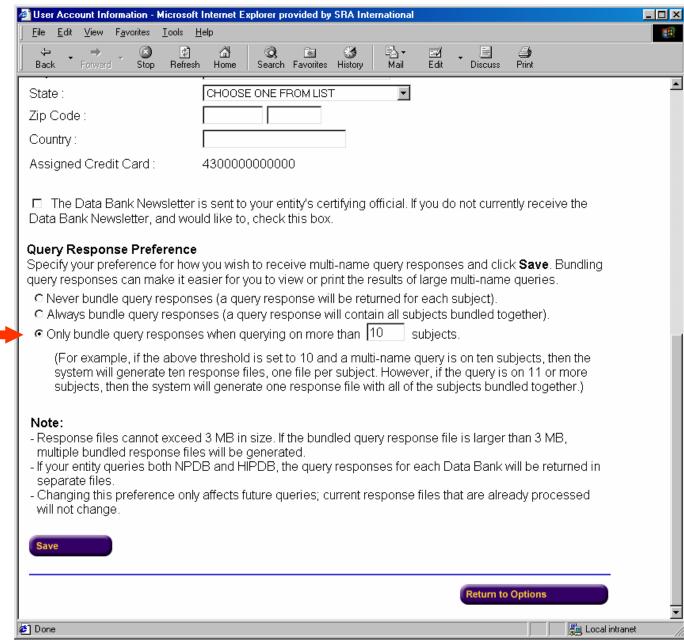








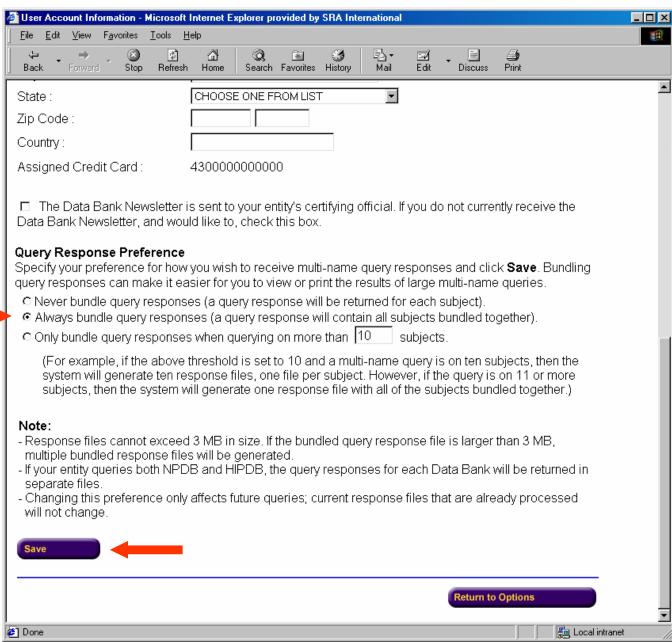


















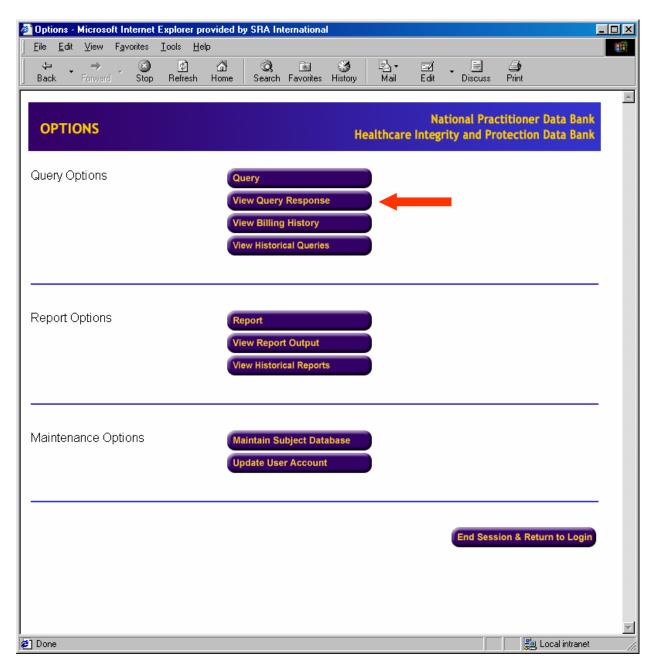


Viewing the Bundled Query Responses







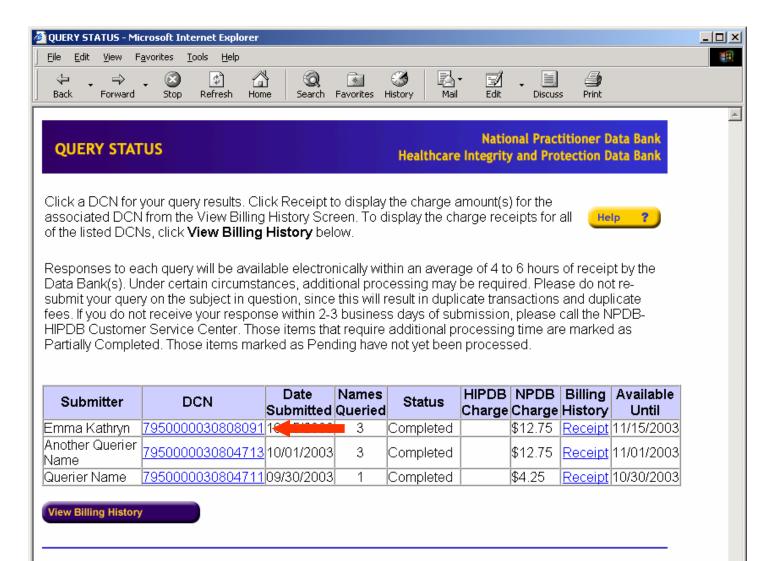














E) Done



Return to Options

End Session & Return to Login

My Computer





Help ?

Names in Batch: 3

Batch Number:

Names Processed: 3

Submitter: Emma Kathryn

All responses may not be ready at the same time. Some queries may require additional processing time and will be available as additional files after they have been processed.

View names in batch query

File	Names	Date	Available	Date
	in File	Processed	Until	Viewed
NPDB Response File 1	3	10/15/2003	11/15/2003	Not Viewed

7950000030808091

Return to Options

End Session & Return to Login

My Computer



Done





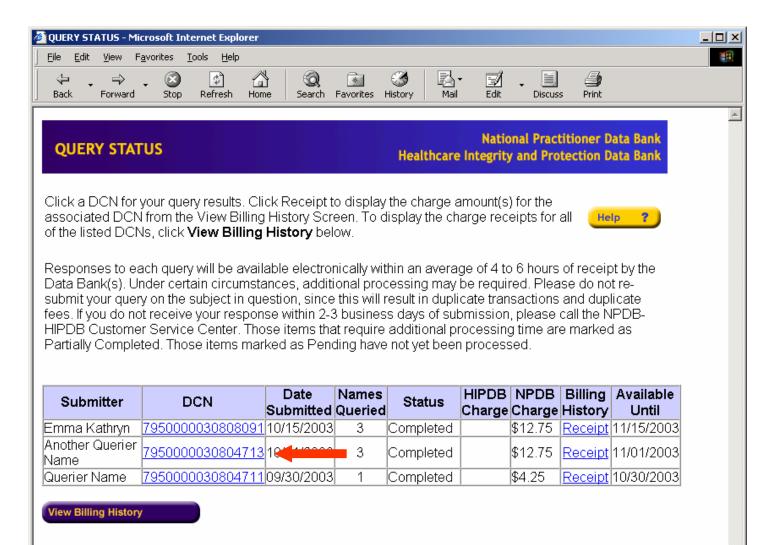


Viewing the Unbundled Query Responses











E) Done



Return to Options

End Session & Return to Login

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SUBJECTS QUERIED

National Practitioner Data Bank Healthcare Integrity and Protection Data Bank

DCN: 7950000030804713

Submitter: Another Querier

Name

Available 11/01/2003

Until:

Select a subject name to obtain information or, if rejected, the reason for rejection. Those items marked as Pending have not yet been processed.

Subject Name	Status	# of NPDB Reports	# of HIPDB Reports	Date Viewed
BOY, LAZY	Completed	4		
EGGLESTON, COACH	Completed	1		
SAVER, LIV	Completed	2		

Return to Options

End Session & Return to Login

My Computer

Help ?



Done







Fall/Winter 2004

- Reducing Number of Pages in a Query Response.
 - Currently, query output consists of a one page cover sheet, followed by a two page response which re-iterates the submitted query information, followed by matching reports.
 - Query output will be updated to display the one page cover sheet as an IQRS screen outside of the response. The two page response document was modified to fit on one page in most circumstances.
- Subject Import Improvements.
 - Increase subject details: identify the status of each imported subject (complete/incomplete, and provide reasons why incomplete).
 - Provide alternative import file format (XML) which provides additional capabilities.
 - Import both individual subjects and organization subjects.
 - Provides ability to validate import file before submission.
 - Adds support for subject database updates and deletions.









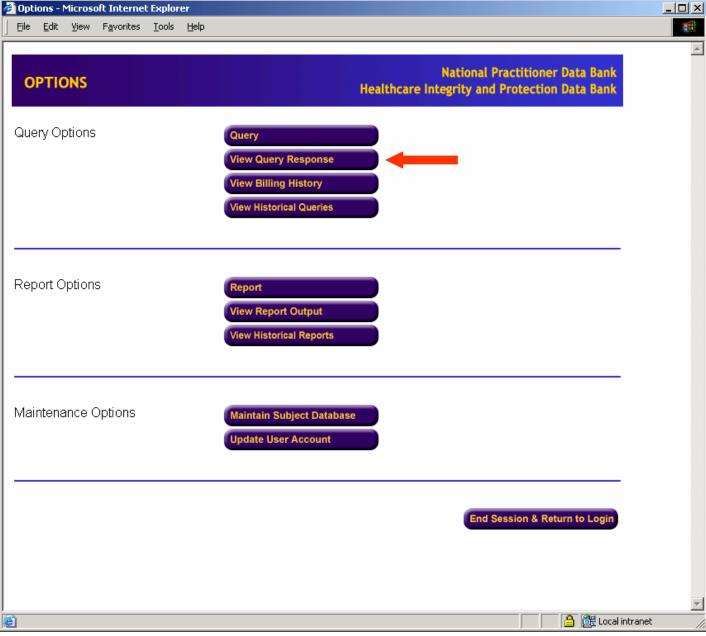
Reducing Number of Pages in a Query Response















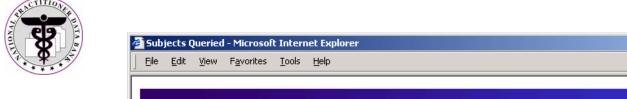












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SUBJECTS QUERIED

National Practitioner Data Bank Healthcare Integrity and Protection Data Bank

DCN: 55000000000000008

Submitter: Peter Hewitt Available Until: 01/04/2005

Select a subject name to obtain information or, if rejected, the reason for rejection. Those items marked as Pending have not yet been processed.

Subject Name	Status	# of NPDB Reports	# of HIPDB Reports	Date Viewed
ACCORD, ALICE	Completed	6	6	Not Viewed
JONES, TOM	Completed	0	0	Not Viewed
PATEL, DAN	Rejected			Not Viewed

Return to Options

End Session & Return to Login

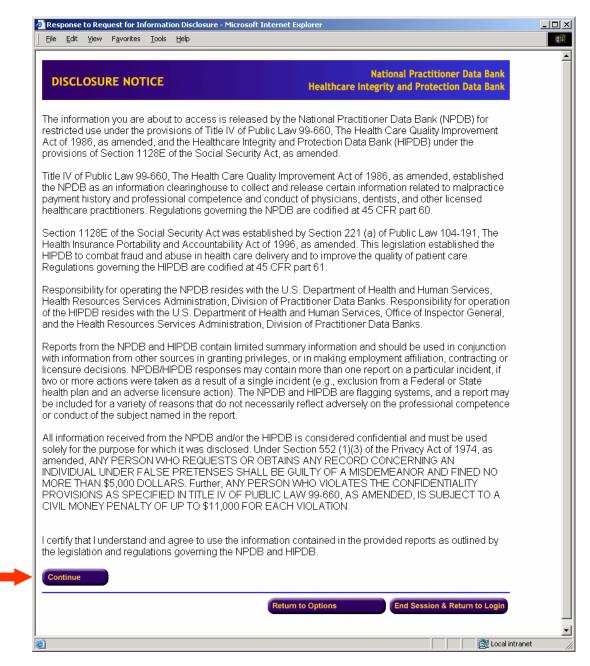
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National Practitioner Data Bank Healthcare Integrity and Protection Data Bank

P.O. Box 10832 Chantilly, VA 20153-0832

http://www.npdb-hipdb.com

DCN: 55000000000000009 Process Date: 12/05/2004

Page: 1 of 1
ACCORD, ALICE
For authorized use by:
PETER'S ENTITY
429700000074433

QUERY RESPONSE

A. SEARCH RESULT

Based on the subject identification information provided by you in Section B below, a search of the NPDB has located the following 6 report(s).

Type of Report(s) Report Number(s)

 Medical Malpractice Payment Report(s):
 550000000000000
 550000000000000
 550000000000000

 Adverse Action Report(s):
 5500000000000000
 5500000000000000
 550000000000000

Recipients should verify that the subject identified in Section B is, in fact, the subject of interest.

B. SUBJECT IDENTIFICATION INFORMATION (INDIVIDUAL)

Subject Name: ACCORD, ALICE

Gender: FEMALE
Date of Birth: 01/01/1950

Other Name(s) Used:

Organization Name: THE MEDICAL ORGANIZATION
Organization Type: MEDICAL GROUP/PRACTICE (365)

Work Address: 123 SUNNYSIDE DRIVE OFFICE NUMBER 4125

City, State, ZIP: FAIRFAX, VA 12121-7766

Home Address: 123 HILL DRIVE
APARTMENT NUM 101

City, State, ZIP: QUEBEC 3H4-F3G

Country: CANADA
Social Security Numbers (SSN): 111-22-3333









Country: CANADA

Social Security Numbers (SSN): 111-22-3333

Professional School(s) & Year of Graduation: ACME MEDICAL SCHOOL (2000)

Occupation/Field of Licensure (Code): PHYSICIAN (MD) (010)
State License Number, State of Licensure: ABCDEFGH12345678, CA

Specialty:

Drug Enforcement Administration (DEA) Numbers: 563452345234
National Provider Identifiers (NPI): 1234123412
Federal Employer Identification Numbers (FEIN): 123412341

Unique Physician Identification Numbers (PEIN): 1234123:

C. ENTITY INFORMATION

Data Bank Identification Number(DBID): 429700000074433
Entity Name: PETER'S ENTITY

Authorized Agent:

Authorized Submitter's Name: PTER HEWITT

Authorized Submitter's Title: CLERK

Authorized Submitter's Telephone: (555) 555-0000 EXT.12345

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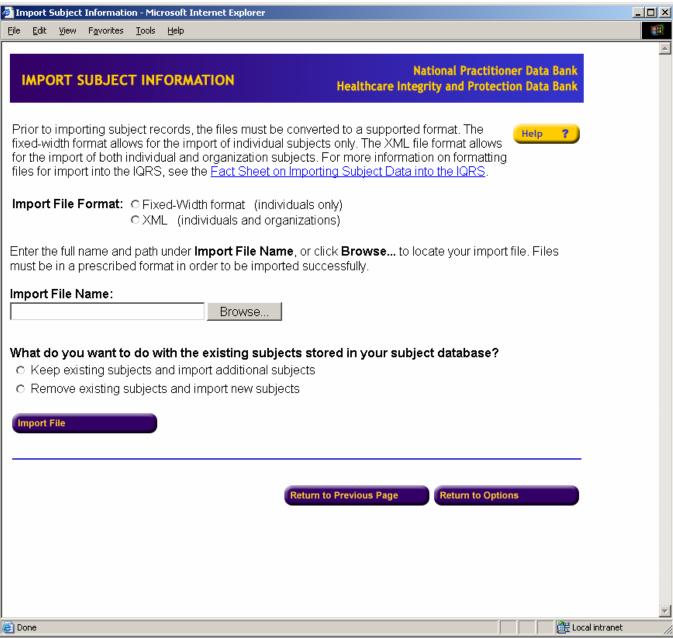


Importing Subjects into the IQRS





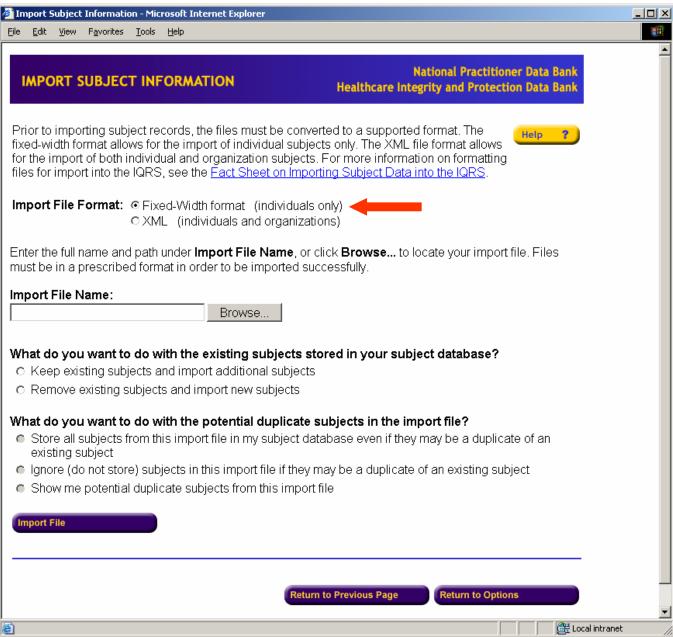








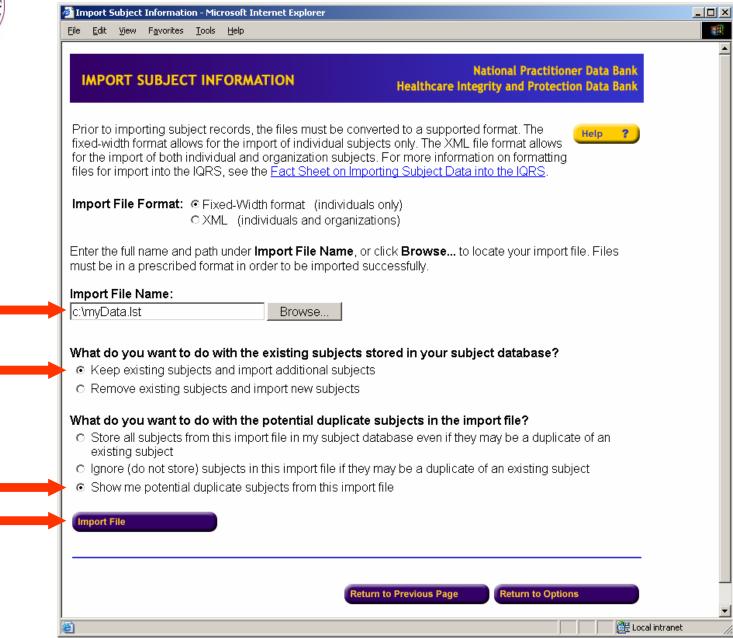








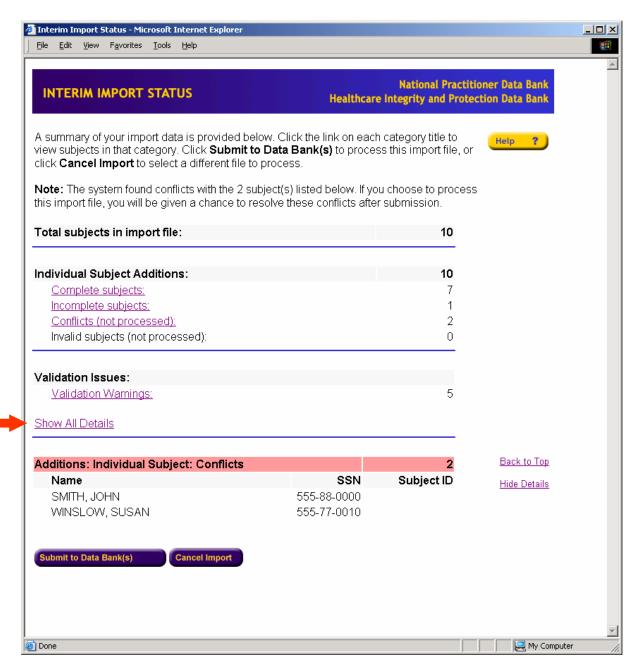










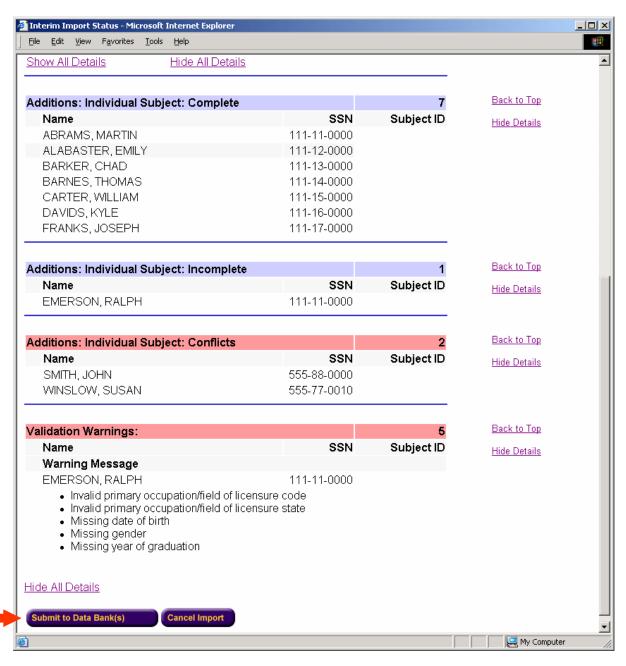










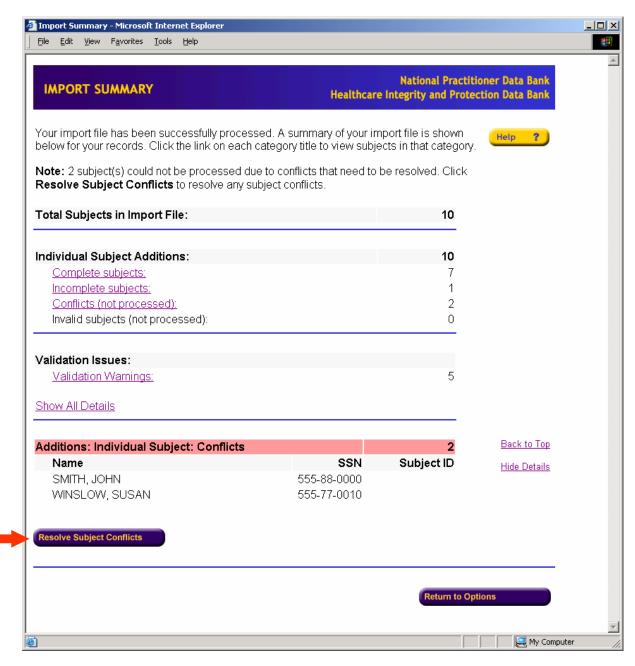










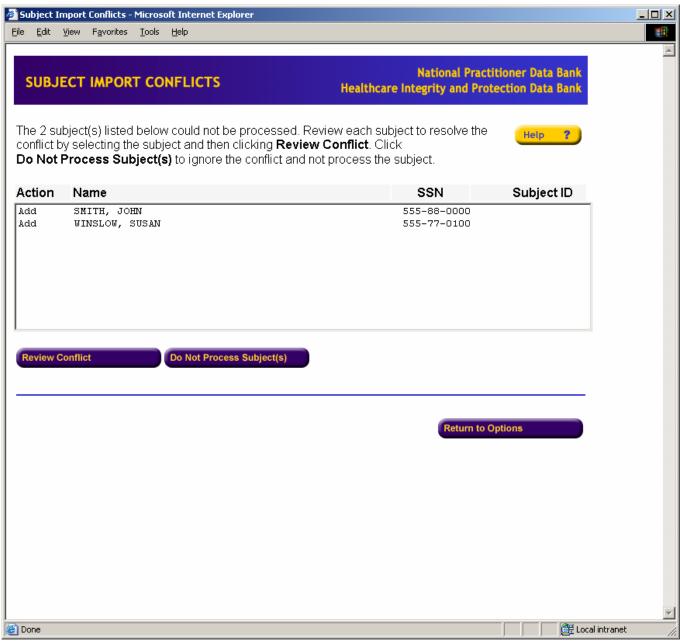












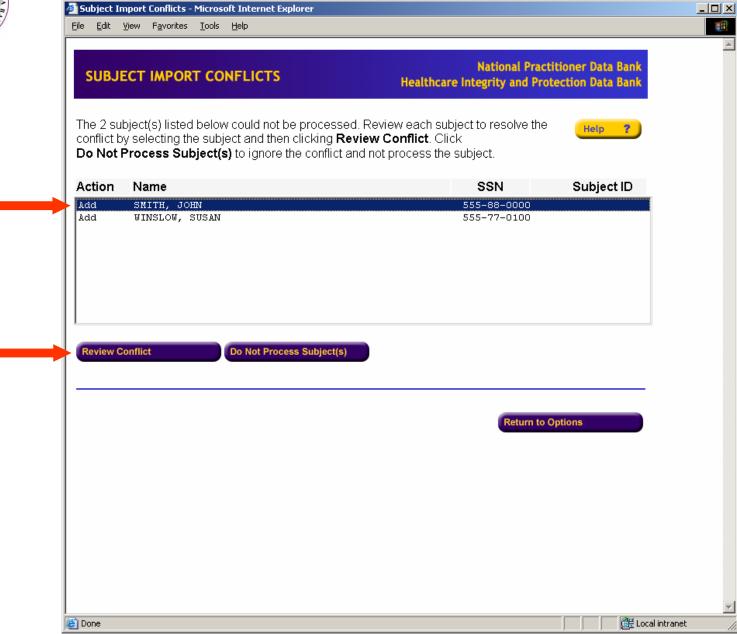








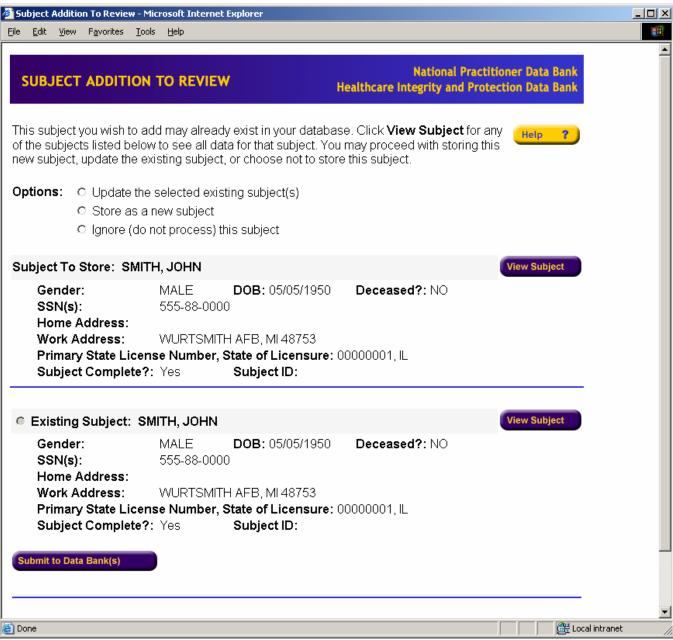








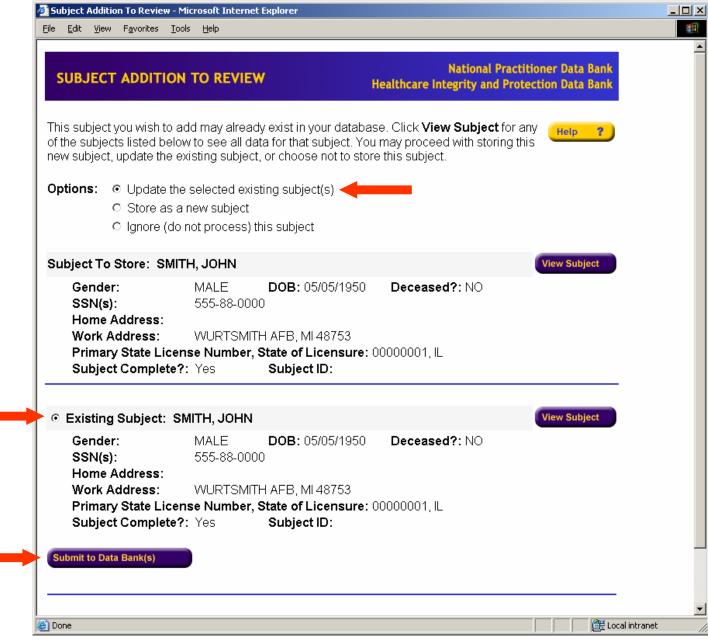










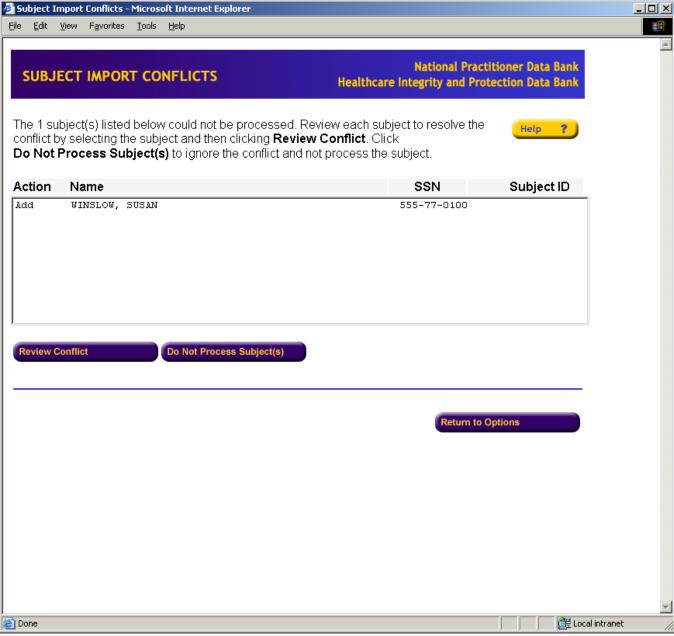






















User Researches Subject Conflict and Logs in Later to Resolve the Conflict







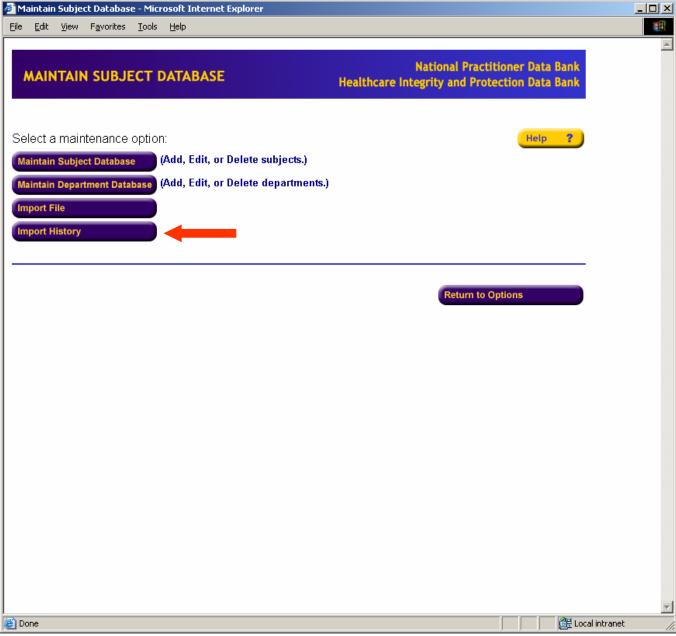


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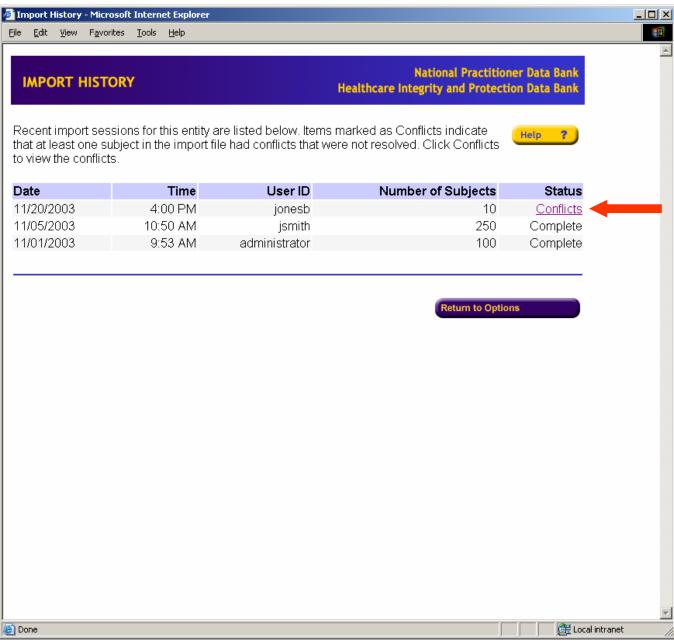
































Importing Subjects Using XML









Why XML?

- XML is the industry standard protocol for data exchange and is simpler for users and user programmers.
- What will the XML import file format provide?
 - Similar to the process for fixed-width files.
 - An import status screen is displayed listing complete and incomplete subjects, as well as subjects with conflicts.
 - Conflicts may be resolved immediately following importing or can be resolved later.
 - Allows for the import of both individual subjects and organization subjects.
 - Allows for additions, updates, and deletions.









Sample XML Import File

```
<imp:ImportFile>
    <DefaultUpdateOption>Add</DefaultUpdateOption>
    <ImportSubject>
        <Action>A</Action>
        <Individual>
            <name>
                <last>Doez</last>
               <first>Win</first>
            </name>
            <gender>U</gender>
            <birthdate>1950-01-01
            <workAddress>
                <address>123 Sesame Street</address>
                <address2>Suite 4</address2>
                <city>Some City</city>
                <state>PA</state>
                <zip>333333</zip>
            </workAddress>
            <ssn>333-33-3333
            cprofessionalSchool>
                <school>Some State School</school>
                <graduationYear>1970</graduationYear>
            </professionalSchool>
       </Individual>
    </ImportSubject>
</imp:ImportFile>
```









What to look forward to in 2005 and beyond:

- New ITP-like interface which uses industry-standard submission and response formats – An electronic service similar to ITP for reporters who wish to interface their data processing system directly with the Data Banks to submit reports and receive responses. This interface will allow users to receive rejection responses immediately and because an industry standard submission format is used, will better enable entities to ensure they are submitting well-formatted files for processing.
- **Test Environment (for ITP and new interface)** Provide current and future ITP users with an end-to-end testing environment.
- Pro-Active Disclosure Service An electronic service that will notify entities when a
 new report is received on a subject that they are monitoring.
- **Implementation of Section 1921** The current NPDB-HIPDB would expand to include access, by eligible entities, to information received under Section 1921.
- Other forms of payment Implement additional forms of payment, other than credit card and EFT.











Questions / Comments









Spring 2004 IQRS URP

Break



